05-14-01

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# NEW UTILITY PATENT APPLICATION TRANSMITTAL

*(only for new nonprovisional applications under*

*37 CFR 1.53(b))*

| | |
|---|---|
| Attorney Docket Number | 21901-04998 |
| First Named Inventor | Eric Ying-Chin Chou |
| Title | Method And Apparatus For Delay Adjustment And Channel Reordering In Baseband Communication Receiving System |
| Express Mail Label No. | EL566297472US |

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27
3. ☒ Specification     Total Pages [ 31 ]

*(preferred arrangement set forth below)*
- Descriptive Title of the Invention
- **Cross Reference(s) to Related Case(s)**
- Statement Regarding Fed sponsored R & D
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawing(s)
- Detailed Description
- Claim or Claims
- Abstract of the Disclosure

4. ☒ Drawing(s) *(35 U.S.C. 113)*     Total Sheets [ 8 ]

5. Oath or Declaration

   a. ☒ New Declaration     Total Pages [ 1 ]

     ☒ Executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
     *(for continuation/divisional with Box 18 completed)*

     i. ☐ DELETION OF INVENTOR(S)

       Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
9. ☒ Power of Attorney or Authorization of Agent
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ Preliminary Amendment
12. ☐ Information Disclosure Statement & PTO-1449
     ☐ Copies of IDS Citation(s)
13. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
14. ☒ Return Postcard
15. ☐
16. ☐
17. ☐

### ADDRESS TO:

**Box Patent Application**
**Commissioner for Patents**
**Washington, D.C. 20231**

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18. **If a CONTINUING APPLICATION,** *check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: \_\_\_/_____

*Prior application information:*   Examiner: _____   Group/Art Unit: _____

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number and Bar Code Label

**00758**

| Name (Print/Type) | Albert C. Smith | Registration No. (Attorney/Agent) | 20,355 |
|---|---|---|---|
| Signature | *Q.C. Smith* | Date | May 11, 2001 |

21901/04998/DOCS/1172140.1

| 0002/PTO(modified) Rev. 10/2000 | U.S. Department of Commerce Patent and Trademark Office | **Complete if Known** | |
|---|---|---|---|
| | | Application Number | Not yet known |
| **FEE TRANSMITTAL** | | Filing Date | May 11, 2001 |
| | | First Named Inventor | Eric Ying-Chin Chou |
| **TOTAL AMOUNT OF PAYMENT** | | Group Art Unit | Not yet known |
| | | Examiner Name | Not yet known |
| Subtotal (1) + Subtotal (2) + Subtotal (3) = **($) 750.00** | | Attorney Docket Number | 21901-04998 |

## METHOD OF PAYMENT

**1. The Commissioner is hereby authorized to:**

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

**2.** ☒ **Payment Enclosed:**
 ☒ Check  ☐ Credit Card  ☐ Other

### FEE CALCULATION (fees effective 10/01/2000)

**1. FILING FEE**

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 101/$710 | 201/$355 | Utility Filing | 710 |
| 106/$320 | 206/$160 | Design Filing | |
| 108/$710 | 208/$355 | Reissue | |
| 114/$150 | 214/$75 | Provisional Filing | |

SUBTOTAL (1) **($) 710**

**2. CLAIMS**

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|---|---|---|
| 103/$18 | 203/$9 | Claims in excess of 20 |
| 102/$80 | 202/$40 | Independent claims in excess of 3 |
| 104/$270 | 204/$135 | Multiple dependent claim |
| 109/$80 | 209/$40 | Reissue independent claims over original patent |
| 110/$18 | 210/$9 | Reissue claims in excess of 20 and over original patent |

## FEE CALCULATION (continued)

**3. ADDITIONAL FEES**

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|---|---|---|---|
| 105/$130 | 205/$65 | Surcharge - late filing fee or oath | |
| 127/$50 | 227/$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/$2,520 | 147/$2,520 | For filing a request for reexamination | |
| 115/$110 | 215/$55 | Extension for response within first month† | |
| 116/$390 | 216/$195 | Extension for response within second month† | |
| 117/$890 | 217/$445 | Extension for response within third month† | |
| 118/$1,390 | 218/$695 | Extension for response within fourth month† | |
| 128/$1,890 | 228/$945 | Extension for response within fifth month† | |
| 119/$310 | 219/$155 | Notice of Appeal | |
| 141/$1,240 | 241/$620 | Petition to revive unintentionally abandoned application | |
| 142/$1,240 | 242/$620 | Utility Issue Fee (Or Reissue) | |
| 143/$440 | 243/$220 | Design Issue Fee | |
| 122/$130 | 122/$130 | Petitions to the Commissioner | |
| 126/$180 | 126/$180 | Submission of Information Disclosure Statement | |
| 179/$710 | 279/$355 | Request for Continued Examination (RCE) | |
| 581/$40 | 581/$40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146/$710 | 246/$355 | Filing a submission after final rejection (37 CFR 1 129(a)) | |
| 149/$710 | 249/$355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | Other fee (specify): | |
| | | Other fee (specify): | |

SUBTOTAL (3) **($) 40**

| | (Col. 1) No. of Existing Claims | | (Col. 2) Highest No. Previously Paid For | | (Col. 3) Extra** | | Fee | | Fee Due |
|---|---|---|---|---|---|---|---|---|---|
| For | | | | | | | | | |
| TOTAL | 8 | minus* | 20 | = | 0 | X | | = | 0 |
| INDEP | 2 | minus* | 3 | = | 0 | X | | = | 0 |
| [  ] First presentation of multiple dependent claim | | | | | | | = | | |

\* Subtract the greater number of Col. 2
\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **($) 0**

## SUBMITTED BY

| Typed or Printed Name | Albert C. Smith | **Complete (if applicable)** | |
|---|---|---|---|
| | | Reg Number | 20,355 |
| Signature | *[signature: A. C. Smith]* | Date | 5/11/01 |

21901/04998/DOCS/1172132.1

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

| NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor | Eric Ying-Chin Chou |
| | Title | Method And Apparatus For Delay Adjustment And Channel Reordering In Baseband and Communication Receiving System |
| | Atty Docket Number | 21901-04998 |

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 11, 2001
Date

_A. C. Smith_ (signature)
Signature

Albert C. Smith, 20,355
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**